

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(Not for PCT Applications)
For Design, Provisional or Utility Applications

**PATENT
APPLICATION**

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s):

Appln. No.: 10 | 828,558

Series Code † | Serial No. †



Atty. Dkt.

62248-1

M#

Client Ref

Filed: 4/21/2004

Title: PREPARATION OF PRODRUGS FOR
SELECTIVE DRUG DELIVERY

(Our Deposit Account No. 50-0687)

(Our Order No.

62248

C#

M#

Date: November 1, 2004

Commissioner for Patents
P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy
3. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
4. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
5. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. ☐ # pages of Specification (only spec. & Claims)
 - c. ☐ Drawing Figs
6. ☐ Letter filing formal drawing attached.
7. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
8. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/464,354	April 22, 2003	(4)	
(2)		(5)	
(3)		(6)	

9. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

10. ☐ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ filed on _____
11. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
12. ☐ Attached:
13. ☒ Preliminary Amendment:
To be entered prior to claims fee calculation.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

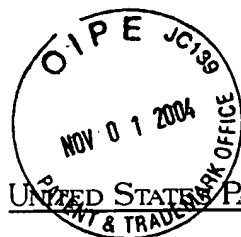
				Large/Small Entity		Fee Code
14. Basic Filing Fee Design Application				\$ 350	395	106/206
Not Design Application				\$		101/201
15. Total Effective Claims	181	minus 20 =	161	x \$9	1449	103/203
(Base this 1 on claims as amended to effect CIP if this is a Rule 62(d) completion)						
16. Independent Claims	2	minus 3 =	0	x \$ 82	0	102/202
17. If <u>any</u> proper multiple dependent claim (ignore improper) is present, add (Leave this line <u>blank</u> if this is a <u>reissue</u> application)				\$	+	104/204
18. Surcharge for filing Declaration/filing fee late				\$	65	105/205
19. FILING FEE ENCLOSED =					\$ 1909	
20. <u>Original</u> due date: 8/30/2004						
21. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 month) (2 months) (3 months) (4 months) (5 months)	\$ \$2 Months \$ \$ \$ \$	+215 115/215 116/216 117/217 118/218
22. If "non-English" box 4 is X'd, add Rule 17(k) processing fee				\$	+	139
23. If "assignment" box 6 is X'd, add recording fee				\$ 40	40	581
24. [] Attached is a Rule 47 Petition and Petition Fee (per Rule 17(h))				\$	+	122
25. TOTAL FEE ENCLOSED =					\$ 2164	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. **Deposit Account No. 50-0687, Order No. 62248**

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Manelli Denison & Selter, PLLC By: Atty: Jeffrey S. Melcher Reg. No. 35,950
Customer No.: 20736
Sig: _____ Fax: (202) 887-0336
Tel: (202) 261-1045

NOTE: File in duplicate with PTO receipt and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
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 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,558	04/21/2004	Randell L. Mills	62248-I

CONFIRMATION NO. 5441

FORMALITIES LETTER



OC000000013113398

20736
 MANELLI DENISON & SELTER
 2000 M STREET NW SUITE 700
 WASHINGTON, DC 20036-3307

Date Mailed: 06/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$2035** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2485** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge
- Total additional claim fee(s) for this application is **\$2035**

11/02/2004 KBETEM1 00000084 10828558

01 FC:2001
 02 FC:2051
 03 FC:2202

395.00 OP
 65.00 OP
 1449.00 OP

- \$172 for 4 independent claims over 3.
- \$1863 for 207 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE